K-THEORETIC AND CATEGORICAL PROPERTIES OF TORIC DELIGNE–MUMFORD STACKS

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ABSTRACT. We prove the following results for toric Deligne–Mumford stacks, under minimal compactness hypotheses: the Localization Theorem in equivariant K-theory; the equivariant Hirzebruch–Riemann–Roch theorem; the Fourier–Mukai transformation associated to a crepant toric wall-crossing gives an equivariant derived equivalence.

1. INTRODUCTION

We establish various basic geometric properties of toric Deligne–Mumford stacks under minimal compactness hypotheses. This is a companion paper to [5]: the results here are used there in the proof of the Crepant Transformation Conjecture for toric Deligne–Mumford stacks, and we expect that they will also be useful elsewhere. We establish the equivariant Hirzebruch–Riemann–Roch theorem and the Localization Theorem in equivariant K-theory, two of the fundamental tools in equivariant topology, for toric Deligne–Mumford stacks without requiring compactness. We also give an equivariant generalization of a celebrated result of Kawamata, that K-equivalent toric Deligne–Mumford stacks are derived equivalent, and exhibit an explicit Fourier–Mukai kernel that implements this equivalence. This latter result plays an essential role in the proof of the Crepant Transformation Conjecture [5]: it implies that the transformation which controls the change in quantum cohomology under a crepant transformation (between toric Deligne–Mumford stacks or complete intersections therein) is, in an appropriate sense, a linear symplectic isomorphism. None of the results proved here are surprising, but we were unable to find proofs of them, at this level of generality, in the literature. Note in particular that our formulation (equation 2.2 below) of the equivariant Hirzebruch–Riemann–Roch theorem which makes sense for arbitrary (not just toric) smooth Deligne–Mumford stacks with torus action under mild hypotheses; we believe this formulation to be new.

We consider toric Deligne–Mumford stacks X such that:

(1) the torus-fixed set X^T is non-empty; and
(2) the coarse moduli space $|X|$ is semi-projective, i.e. $|X|$ is projective over the affinization $\mathrm{Spec}(H^0(|X|, \mathcal{O}))$.

These conditions are equivalent to demanding that X arise as the GIT quotient $\left[\mathbb{C}^m /\!\!/_\omega K \right]$ of a vector space by the linear action of a complex torus K, as in §3.1 below. The action of $T = (\mathbb{C}^\times)^m$ on $\mathbb{C}^m$ descends to give an ineffective action of T on X, as well as an effective action of the quotient torus T/K on X.

The Localization Theorem in equivariant K-theory and the equivariant index theorem were first proved for the topological K-theory of G-spaces and G-manifolds by Atiyah and Segal [1,16]. Similar results were established in algebraic K-theory by Nielsen [15] and Thomason [17–19]. Index theorems have been proven for compact orbifolds by Kawasaki [13] and for proper Deligne–Mumford stacks by Toen [20]; an equivariant index theorem for compact orbifolds was proved by Vergne [21]. In §§2–3 we prove an equivariant index theorem for toric Deligne–Mumford stacks, without requiring properness, using methods and results of Atiyah–Segal and Thomason.

In §5 we prove that the Fourier–Mukai functor associated to the K-equivalence

$$
\begin{array}{ccc}
 & \widetilde{X} & \\
f_+ \swarrow & & \searrow f_- \\
X_+ \dashrightarrow[\varphi]{} & & X_-
\end{array}
$$

determined by a crepant wall-crossing of toric GIT quotients gives an equivalence between the equivariant derived categories of $X_\pm$. This is an equivariant generalization of a result of Kawamata [12], with a different proof: we use the theory developed by Halpern-Leistner [9] and Ballard–Favero–Katzarkov [2] which relates derived categories to variation of GIT.

Toric Deligne–Mumford stacks were introduced by Borisov–Chen–Smith [4], who described them in terms of *stacky fans*. They have also been studied by Jiang [11], who introduced the notion of an *extended stacky fan*. Our approach here, where we treat toric Deligne–Mumford stacks as GIT quotients $\left[\mathbb{C}^m /\!\!/_\omega K\right]$, is equivalent to the approach via (extended) stacky fans. This is explained in [5, §4.2].

2. The Hirzebruch–Riemann–Roch Formula

Let X be a smooth Deligne-Mumford stack with a torus T action, which satisfies the following properties:

(P1) the coarse moduli space $|X|$ is semi-projective;

(P2) all the T-weights appearing in the T-representation $H^0(X, \mathcal{O})$ are contained in a strictly convex cone in $\mathrm{Lie}(T)^*$, and the T-invariant subspace $H^0(X, \mathcal{O})^T$ is $\mathbb{C}$.

These properties together imply, for example, that the fixed set X^T is compact. As we will see, these properties allow us to define the equivariant index of coherent sheaves on X, and to state the equivariant Hirzebruch–Riemann–Roch formula (equation 2.2 below). In §3 below we prove this Hirzebruch–Riemann–Roch formula for toric Deligne–Mumford stacks, using the Localization Theorem in equivariant K-theory.

Let $|X|$ denote the coarse moduli space of X and let $IX = X \times_{|X|} X$ denote the inertia stack of X. The stack IX consists of pairs (x, g) with $x \in X$ and $g \in \mathrm{Aut}_X(x)$. We write $H_T^{\bullet\bullet}(IX) := \prod_p H_T^{2p}(IX)$, where the superscript '$\bullet\bullet$' is to indicate that we take the direct product of cohomology groups rather than the direct sum; it does not indicate a double grading. Let $K_T^0(X)$ denote the Grothendieck group of T-equivariant vector bundles on X. We introduce an orbifold Chern character map

$$\widetilde{\mathrm{ch}} \colon K_T^0(X) \to H_T^{\bullet\bullet}(IX)$$

as follows. Let $IX = \bigsqcup_{v \in \mathsf{B}} X_v$ be the decomposition of the inertia stack IX into connected components, where the set B indexes the connected components. (If X is a toric Deligne–Mumford stack then we can take B to be the box of the stacky fan that defines X.) Let $q_v \colon X_v \to X$ be the natural map, and let E be a T-equivariant vector bundle on X. The stabilizer g_v along X_v acts on the vector bundle $q_v^* E \to X_v$, giving an eigenbundle decomposition

$$q_v^* E = \bigoplus_{\theta \in \Theta(v)} E_{v,\theta}$$

where $\Theta(v)$ denotes the set of rational numbers $\theta \in [0, 1)$ such that $\exp(2\pi \mathtt{i}\theta)$ is an eigenvalue of the g_v-action on $q_v^*(E)$, and $E_{v,\theta}$ is the eigenbundle corresponding to θ. The equivariant Chern character is defined to be

$$\widetilde{\mathrm{ch}}(E) = \bigoplus_{v \in \mathsf{B}} \sum_{\theta \in \Theta(v)} e^{2\pi \mathtt{i}\theta} \, \mathrm{ch}^T(E_{v,\theta})$$

where $\mathrm{ch}^T(E_{v,\theta}) \in H_T^{\bullet\bullet}(X_v)$ is the usual T-equivariant Chern character of the T-equivariant vector bundle $E_{v,\theta} \to X_v$. Let $\delta_{v,\theta,i}$, $1 \le i \le \mathrm{rank}(E_{v,\theta})$ be the T-equivariant Chern roots of $E_{v,\theta}$, so that $c^T(E_{v,\theta}) = \prod_i (1 + \delta_{v,\theta,i})$. These Chern roots are not actual cohomology classes,

but symmetric polynomials in the Chern roots make sense as equivariant cohomology classes on X_v. The T-equivariant orbifold Todd class $\widetilde{\mathrm{Td}}(E) \in H_T^{\bullet\bullet}(IX)$ is defined to be:

$$\widetilde{\mathrm{Td}}(E) = \bigoplus_{v \in \mathsf{B}} \left(\prod_{\substack{\theta \in \Theta(v) \\ \theta \neq 0}} \prod_{i=1}^{\mathrm{rank}(E_{v,\theta})} \frac{1}{1 - e^{-2\pi \mathrm{i} \theta} e^{-\delta_{v,\theta,i}}} \right) \prod_{i=1}^{\mathrm{rank}\, E_{v,0}} \frac{\delta_{v,0,i}}{1 - e^{-\delta_{v,0,i}}}$$

We write $\widetilde{\mathrm{Td}}_X = \widetilde{\mathrm{Td}}(TX)$ for the orbifold Todd class of the tangent bundle.

Property (P2) gives that all of the T-weights of $H^0(X, \mathcal{O})$ lie in a strictly convex cone in $\mathrm{Lie}(T)^*$. After changing the identification of T with $(\mathbb{C}^\times)^m$ if necessary, we may assume that this cone is contained within the cone spanned by the standard characters $\lambda_1, \ldots, \lambda_m$ in $H_T^2(\mathrm{pt}) = \mathrm{Lie}(T)^*$, where $\lambda_j \colon T \to \mathbb{C}^\times$ is given by projection to the jth factor of $T = (\mathbb{C}^\times)^m$. The Chern character

$$\mathrm{ch}^T \colon K_T^0(\mathrm{pt}) \to \mathbb{Z}[e^{\pm\lambda}] := \mathbb{Z}[e^{\pm\lambda_1}, \ldots, e^{\pm\lambda_m}] \subset H_T^{\bullet\bullet}(\mathrm{pt})$$

sends the irreducible representation of weight λ_i to e^{λ_i}. The T-representation $H^0(X, \mathcal{O})$ is infinite dimensional, but each weight piece is finite dimensional. Thus we have a well-defined character $\mathrm{ch}^T(H^0(X, \mathcal{O}))$ in $\mathbb{Z}[\![e^\lambda]\!] := \mathbb{Z}[\![e^{\lambda_1}, \ldots, e^{\lambda_m}]\!]$. More generally, if V is a locally finite T-representation that is finitely generated as an $H^0(X, \mathcal{O})$-module, the character $\mathrm{ch}^T(V)$ lies in $\mathbb{Z}[\![e^\lambda]\!][e^{-\lambda}] := \mathbb{Z}[\![e^\lambda]\!][e^{-\lambda_1}, \ldots, e^{-\lambda_m}]$. An important fact is that $\mathrm{ch}^T(V)$ *becomes a rational function in* $e^{\lambda_1}, \ldots, e^{\lambda_m}$ for such V, see [19]. In other words, $\mathrm{ch}^T(V)$ lies in:

$$\mathbb{Z}[\![e^\lambda]\!][e^{-\lambda}]_{\mathrm{rat}} := \left\{ f \in \mathbb{Z}[\![e^\lambda]\!][e^{-\lambda}] : \begin{array}{l} f \text{ is the Laurent expansion of a rational function} \\ \text{in } \mathbb{C}(e^{\lambda_1}, \ldots, e^{\lambda_m}) \text{ at } e^{\lambda_1} = \cdots = e^{\lambda_m} = 0 \end{array} \right\}$$

For a T-equivariant vector bundle E on X, the cohomology groups $H^i(X, E)$ are finitely generated $H^0(X, \mathcal{O})$-modules since $|X|$ is semi-projective. Therefore we can define the equivariant Euler characteristic $\chi(E) \in \mathbb{Z}[\![e^\lambda]\!][e^{-\lambda}]_{\mathrm{rat}}$ as:

$$(2.1) \qquad \chi(E) := \sum_{i=0}^{\dim X} (-1)^i \mathrm{ch}^T \left(H^i(X, E) \right)$$

Let $R_T = H_T^\bullet(\mathrm{pt}, \mathbb{C})$, and let S_T denote the localization of R_T with respect to the set of non-zero homogeneous elements. We expect that properties (P1) and (P2) are sufficient to imply the following equivariant Hirzebruch–Riemann–Roch (HRR) formula:

$$(2.2) \qquad \chi(E) = \int_{IX} \widetilde{\mathrm{ch}}(E) \cup \widetilde{\mathrm{Td}}_X.$$

This identity should be interpreted with care. The right-hand side is an equivariant integral (defined via the localization formula) of an element of $H_T^{\bullet\bullet}(IX)$, and lies in a completion $\widehat{S}_T$ of S_T:

$$\widehat{S}_T := \left\{ \sum_{n \in \mathbb{Z}} a_n : a_n \in S_T^n, \text{ there exists } n_0 \in \mathbb{Z} \text{ such that } a_n = 0 \text{ for all } n < n_0 \right\}$$

where S_T^n denotes the degree n graded component of S_T. As we discussed above, the left-hand side of (2.2) lies in $\mathbb{Z}[\![e^\lambda]\!][e^{-\lambda}]_{\mathrm{rat}}$ and is given by a rational function $f(e^{\lambda_1}, \ldots, e^{\lambda_m})$. We take the Laurent expansion of $g(t) = f(e^{t\lambda_1}, \ldots, e^{t\lambda_m})$ at $t = 0$ and obtain an expression $g(t) = \sum_{n \geq n_0} g_n t^n$ with $g_n \in S_T^n$. The HRR formula (2.2) claims that the element $\sum_{n \geq n_0} g_n \in \widehat{S}_T$ thus obtained is equal to the right-hand side of (2.2). Note that we have the following inclusions of rings:

$$\mathbb{Z}[\![e^\lambda]\!][e^{-\lambda}] \supset \mathbb{Z}[\![e^\lambda]\!][e^{-\lambda}]_{\mathrm{rat}} \hookrightarrow \widehat{S}_T.$$

Non-equivariant versions of the HRR formula (2.2) for orbifolds and Deligne–Mumford stacks have been established by Kawasaki [13] and Toen [20]. (In the non-equivariant case, X has to be compact so that both sides of (2.2) are well-defined.) The equivariant index theorem has been studied by many authors (see e.g. [3,8,14] and references therein) and the formula (2.2) is known to hold (at least) for compact smooth manifolds [6,8], compact orbifolds [21], and proper

Deligne–Mumford stacks [7]. We could not, however, find a reference for the formula (2.2) for non-proper Deligne–Mumford stacks. In §3, we establish (2.2) for toric Deligne–Mumford stacks, using localization in equivariant K-theory.

Example 2.1. Consider $\mathbb{C}^2$ with the diagonal $\mathbb{C}^\times$-action. The Euler characteristic of the structure sheaf is:

$$\text{ch}^{\mathbb{C}^\times}(H^0(\mathbb{C}^2, \mathcal{O})) = \sum_{n=0}^\infty (n+1) e^{n\lambda}.$$

On the other hand,

$$\int_{\mathbb{C}^2} \text{Td}^{\mathbb{C}^\times}_{\mathbb{C}^2} = \int_{\mathbb{C}^2} \frac{(-\lambda)^2}{(1 - e^\lambda)^2} = \frac{1}{(1 - e^\lambda)^2} = \frac{1}{\lambda^2} - \frac{1}{\lambda} + \frac{5}{12} - \frac{1}{12}\lambda + \frac{1}{240}\lambda^2 + \cdots.$$

The two quantities match. If we consider instead the anti-diagonal $\mathbb{C}^\times$-action $(x, y) \mapsto (s^{-1}x, sy)$ on $\mathbb{C}^2$, the Euler characteristic is ill-defined since each weight subspace is infinite dimensional; this action does not satisfy our assumptions.

3. Localization in Equivariant K-Theory

In this section we prove the Localization Theorem for the T-equivariant K-theory of toric Deligne–Mumford stacks, using methods and results of Thomason [17–19]. We then deduce the T-equivariant Hirzebruch–Rieman–Roch formula (2.2).

3.1. **Toric Deligne–Mumford Stacks as GIT Quotients.** The definition of toric Deligne-Mumford stacks is given by [4], and we mainly follow the notations in §4 of [5]. Let $K = (\mathbb{C}^\times)^r$. Let $\mathbb{L} = \text{Hom}(\mathbb{C}^\times, K)$ denote the cocharacter lattice of K, so that $\mathbb{L}^\vee = \text{Hom}(K, \mathbb{C}^\times)$ is the lattice of characters, and fix characters $D_1, \ldots, D_m \in \mathbb{L}^\vee$. This choice of characters defines a map from K to the torus $T = (\mathbb{C}^\times)^m$, and hence an action of K on $\mathbb{C}^m$.

Notation 3.1. For a subset I of $\{1, 2, \ldots, m\}$, write $\overline{I}$ for the complement of I, and set:

$$\text{Cone}_I = \left\{ \sum_{i \in I} a_i D_i : a_i \in \mathbb{R}, \, a_i > 0 \right\} \subset \mathbb{L}^\vee \otimes \mathbb{R}$$

$$(\mathbb{C}^\times)^I \times \mathbb{C}^{\overline{I}} = \left\{ (z_1, \ldots, z_m) : z_i \neq 0 \text{ for } i \in I \right\} \subset \mathbb{C}^m$$

We set $\text{Cone}_\emptyset = \{0\}$.

Definition 3.2. Consider now a *stability condition* $\omega \in \mathbb{L}^\vee \otimes \mathbb{R}$, and set:

$$\mathcal{A}_\omega = \left\{ I \subset \{1, 2, \ldots, m\} : \omega \in \text{Cone}_I \right\}$$

$$U_\omega = \bigcup_{I \in \mathcal{A}_\omega} (\mathbb{C}^\times)^I \times \mathbb{C}^{\overline{I}}$$

$$X_\omega = \left[U_\omega / K \right]$$

The square brackets here indicate that X_ω is the stack quotient of U_ω (which is K-invariant) by K. We call X_ω the *toric stack associated to the GIT data* $(K; \mathbb{L}; D_1, \ldots, D_m; \omega)$. Elements of $\mathcal{A}_\omega$ are called *anticones*[1].

Example 3.3. Let us give a simple example, purely to demystify all this notation. Set $r = 1$, so that $K = \mathbb{C}^\times$ and $\mathbb{L}^\vee = \mathbb{Z}$. Now set $m = 4$, and choose $D_1 = D_2 = 1$ and $D_3 = D_4 = -1$. This means we are considering a GIT quotient of $\mathbb{C}^4$ under a diagonal $\mathbb{C}^\times$ action having weights $(1, 1, -1, -1)$. We need to choose a stability condition ω in $\mathbb{L}^\vee \otimes \mathbb{R} = \mathbb{R}$, and let us choose ω to be positive. Then $\mathcal{A}_\omega$ consists of all subsets $I \subset \{1, .., 4\}$ such that either $1 \in I$ or $2 \in I$. Hence U_ω contains the two open sets:

$$(\mathbb{C}^\times)^{\{1\}} \times \mathbb{C}^{\{2,3,4\}} = \{z_1 \neq 0\} \qquad \text{and} \qquad (\mathbb{C}^\times)^{\{2\}} \times \mathbb{C}^{\{1,3,4\}} = \{z_2 \neq 0\}$$

In fact these two open sets cover U_ω – any other $I \in \mathcal{A}_\omega$ corresponds to a subset of at least one of these two – so U_ω is the subset $\{(z_1, z_2) \neq (0, 0)\} \subset \mathbb{C}^4$. Thus X_ω is the total space of the rank 2 vector bundle $\mathcal{O}(-1)^{\oplus 2}$ over $\mathbb{P}^1$.

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[1]This terminology is explained in [5, §4.2].

Unless otherwise stated, we will consider only GIT data that satisfies:

(3.1)
- $\{1, 2, \ldots, m\} \in \mathcal{A}_\omega$;
- for each $I \in \mathcal{A}_\omega$, the set $\{D_i : i \in I\}$ spans $\mathbb{L}^\vee \otimes \mathbb{R}$ over $\mathbb{R}$.

The first condition here ensures that X_ω is non-empty; the second ensures that X_ω is a Deligne–Mumford stack. These conditions imply that $\mathcal{A}_\omega$ is closed under enlargement of sets, so that if $I \in \mathcal{A}_\omega$ and $I \subset J$ then $J \in \mathcal{A}_\omega$.

Fixed points of the T-action on X_ω are in one-to-one correspondence with minimal anticones, that is, with $\delta \in \mathcal{A}_\omega$ such that $|\delta| = r$. A minimal anticone δ corresponds to the T-fixed point:

$$\left[\{(z_1, \ldots, z_n) \in U_\omega : z_i = 0 \text{ if } i \notin \delta\}/K \right] = \left[(\mathbb{C}^\times)^\delta/K \right]$$

Let Fix_ω denote the set of minimal anticones for X_ω.

3.2. The Localization Theorem.
We now state and prove our Localization Theorem.

Theorem 3.4. *Let $X_\omega = \left[U_\omega/K \right]$ be a toric Deligne–Mumford stack as above. Recall that the torus T acts (ineffectively) on X_ω. Given $\delta \in \mathrm{Fix}_\omega$, write x_δ for the corresponding T-fixed point of X_ω, so that $x_\delta \cong BG_\delta$ where G_δ is the isotropy subgroup of x_δ. Let $i_\delta \colon x_\delta \to X_\omega$ denote the inclusion and let N_δ denote the normal bundle to i_δ. Let $\mathbb{Z}[T] = K_T^0(\mathrm{pt})$ denote the ring of regular functions (over $\mathbb{Z}$) on T and let $\mathrm{Frac}\,\mathbb{Z}[T]$ denote the field of fractions. Then for $\alpha \in K_T^0(X_\omega)$, we have:*

$$\alpha = \sum_{\delta \in \mathrm{Fix}_\omega} (i_\delta)_\star \left(\frac{i_\delta^\star \alpha}{\lambda_{-1} N_\delta^\vee} \right) \qquad \text{in } K_T^0(X_\omega) \otimes_{\mathbb{Z}[T]} \mathrm{Frac}(\mathbb{Z}[T])$$

where $\lambda_{-1} N_\delta^\vee := \sum_{i=0}^{\dim X_\omega} (-1)^i \bigwedge^i N_\delta^\vee$ is invertible in $K_T^0(x_\delta) \otimes_{\mathbb{Z}[T]} \mathrm{Frac}(\mathbb{Z}[T])$.

Proof. We have that $K_T^0(X_\omega) = K_{T \times K}^0(U_\omega)$, where the action of $(t, k) \in T \times K$ on U_ω is given by the action of $tk^{-1} \in T$ on U_ω. As a module over over $K_{T \times K}^0(\mathrm{pt}) = \mathbb{Z}[T \times K]$, $K_{T \times K}^0(U_\omega)$ is supported[2] on the set of points $(t, k) \in T \times K$ such that (t, k) has a fixed point in U_ω [19, Théorème 2.1]. Therefore the support of $K_{T \times K}^0(U_\omega)$ is the union $\bigcup_{\delta \in \mathrm{Fix}_\omega} T_\delta$ of subtori T_δ defined by

(3.2) $$T_\delta = \{(t, k) \in T \times K : \pi_\delta(t) = \pi_\delta(k)\}.$$

Here $\pi_\delta \colon T = (\mathbb{C}^\times)^m \to (\mathbb{C}^\times)^\delta$ is the natural projection. Note that T_δ fixes the locus $(\mathbb{C}^\times)^\delta \subset U_\omega$ corresponding to the fixed point x_δ. The torus T_δ is connected and the natural projection $T_\delta \to T$ is a finite covering with Galois group G_δ. Therefore the localization $K_{T \times K}^0(U_\omega) \otimes_{\mathbb{Z}[T]} \mathrm{Frac}(\mathbb{Z}[T])$ is supported on finitely many points, which are the generic points ξ_δ of T_δ. On the other hand, the stalk of $K_{T \times K}^0(U_\omega)$ at ξ_δ is given by the isomorphism [19, Théorème 2.1]:

(3.3) $$(i_\delta)_\star \colon K_{T \times K}^0((\mathbb{C}^\times)^\delta)_{\xi_\delta} \xrightarrow{\cong} K_{T \times K}^0(U_\omega)_{\xi_\delta}$$

The localization $K_{T \times K}^0(U_\omega) \otimes_{\mathbb{Z}[T]} \mathrm{Frac}(\mathbb{Z}[T])$ is the direct sum of these stalks. For the same reason, we have:

$$K_T^0(x_\delta) \otimes_{\mathbb{Z}[T]} \mathrm{Frac}(\mathbb{Z}[T]) = K_{T \times K}^0((\mathbb{C}^\times)^\delta)) \otimes_{\mathbb{Z}[T]} \mathrm{Frac}(\mathbb{Z}[T]) = K_{T \times K}^0((\mathbb{C}^\times)^\delta)_{\xi_\delta}$$

The inverse to (3.3) is given by $(\lambda_{-1} N_\delta^\vee)^{-1} \cdot i_\delta^\star(-)$ by [19, Lemma 3.3]. The conclusion follows. We remark that $\lambda_{-1} N_\delta^\vee$ is invertible in $K_{T \times K}^0((\mathbb{C}^\times)^\delta)_{\xi_\delta}$ by [19, Lemma 3.2]. $\qquad\square$

Corollary 3.5. *Let the notation be as in Theorem 3.4. For $\alpha \in K_T^0(X_\omega)$, we have*

$$\chi(\alpha) = \sum_{\delta \in \mathrm{Fix}_\omega} \chi \left(\frac{i_\delta^\star \alpha}{\lambda_{-1} N_\delta^\vee} \right)$$

where $\chi(-)$ denotes the T-equivariant Euler characteristic given in (2.1).

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[2]The equivariant K-group $K_{T \times K}^0(U_\omega)$ is a module over $K_{T \times K}^0(\mathrm{pt}) = \mathbb{Z}[T \times K]$, and hence defines a sheaf on $\mathrm{Spec}\,\mathbb{Z}[T \times K]$. The support of $K_{T \times K}^0(U_\omega)$ means the support of this sheaf.

Proof. The discussion in §2 shows that χ defines a $\mathbb{Z}[T]$-linear map

$$K_T^0(X_\omega) \to \mathrm{Frac}(\mathbb{Z}[T])$$

which, by extension of scalars, gives $K_T^0(X_\omega) \otimes_{\mathbb{Z}[T]} \mathrm{Frac}(\mathbb{Z}[T]) \to \mathrm{Frac}(\mathbb{Z}[T])$. Corollary 3.5 is thus an immediate consequence of Theorem 3.4. $\qquad\square$

Corollary 3.6. *The T-equivariant Hirzebruch–Riemann–Roch formula* (2.2) *holds when X is a toric Deligne–Mumford stack with semi-projective coarse moduli space and the torus-fixed set X^T is non-empty.*

Proof. We compute the right-hand side of the HRR formula (2.2) using localization in equivariant cohomology, and match it with the fixed point formula in Corollary 3.5. Recall the $(T \times K)$-action in the proof of Theorem 3.4. It suffices to show that

$$\chi\left(\frac{V}{\lambda_{-1}N_\delta}\right) = \frac{1}{|G_\delta|} \sum_{g \in G_\delta} \frac{\widetilde{\mathrm{ch}}(V)_g \widetilde{\mathrm{Td}}(N_\delta)_g}{e_T(N_{\delta,g})}$$

for a $(T \times K)$-representation V. Here we regard V as a $(T \times K)$-equivariant vector bundle on $(\mathbb{C}^\times)^\delta$, which is the same thing as a T-equivariant vector bundle on $x_\delta = [(\mathbb{C}^\times)^\delta/K]$. The index $g \in G_\delta$ parametrizes connected components of IBG_δ, $\widetilde{\mathrm{ch}}(\cdot)_g$ and $\widetilde{\mathrm{Td}}(\cdot)_g$ denote the components of the T-equivariant orbifold Chern character and T-equivariant orbifold Todd class along the component of IBG_δ indexed by g, and $N_{\delta,g}$ is the g-fixed subbundle of N_δ.

Consider the subgroup T_δ of $T \times K$ in (3.2). This is the stabilizer of the $(T \times K)$-action on $(\mathbb{C}^\times)^\delta$ and fits into the exact sequence:

$$1 \longrightarrow G_\delta \longrightarrow T_\delta \longrightarrow T \longrightarrow 1$$

A $(T \times K)$-representation W can be viewed as a T_δ-representation and the G_δ-invariant part W^{G_δ} gives a T-representation. The Euler characteristic of W, as a T-equivariant vector bundle on x_δ, is then given by the T-character of W^{G_δ}:

$$\chi(W) = \mathrm{ch}^T(W^{G_\delta}) = \frac{1}{|G_\delta|} \sum_{g \in G_\delta} \mathrm{Tr}(ge^\lambda \colon W)$$

where $\lambda \in \mathrm{Lie}(T)$ and ge^λ gives an element of T_δ. On the other hand, we have

$$\mathrm{Tr}(ge^\lambda \colon V) = \widetilde{\mathrm{ch}}(V)_g$$

$$\mathrm{Tr}(ge^\lambda \colon \lambda_{-1}N_\delta^\vee) = \frac{e_T(N_{\delta,g})}{\widetilde{\mathrm{Td}}(N_\delta)_g}$$

by the definition of $\widetilde{\mathrm{ch}}$ and $\widetilde{\mathrm{Td}}$ in §2. The conclusion follows from the fact that $\mathrm{Tr}(ge^\lambda \colon -)$ preserves the product. $\qquad\square$

4. Birational Transformations from Variation of GIT

In this section we consider crepant birational transformations $\varphi\colon X_+ \dashrightarrow X_-$ between toric Deligne–Mumford stacks which arise from a variation of GIT quotient. We construct a K-equivalence:

(4.1)

$$
\begin{array}{ccc}
 & \widetilde{X} & \\
f_+ \swarrow & & \searrow f_- \\
X_+ \dashrightarrow\!\!\!\!\overset{\varphi}{}\!\!\!\!\dashrightarrow & & X_-
\end{array}
$$

canonically associated to φ, and show that this too arises from a variation of GIT quotient.

Recall that our GIT data in §3.1 consist of a torus $K \cong (\mathbb{C}^\times)^r$, the lattice $\mathbb{L} = \mathrm{Hom}(\mathbb{C}^\times, K)$ of $\mathbb{C}^\times$-subgroups of K, and characters $D_1, \ldots, D_m \in \mathbb{L}^\vee$. A choice of stability condition $\omega \in \mathbb{L}^\vee \otimes \mathbb{R}$ satisfying (3.1) determines a toric Deligne–Mumford stack $X_\omega = [U_\omega/K]$. The space $\mathbb{L}^\vee \otimes \mathbb{R}$ of stability conditions is divided into chambers by the closures of the sets Cone_I, $|I| = r - 1$,

and the Deligne–Mumford stack X_ω depends on ω only via the chamber containing ω. For any stability condition ω satisfying (3.1), the set U_ω contains the big torus $T = (\mathbb{C}^\times)^m$, and thus for any two such stability conditions ω_1, ω_2 there is a canonical birational map $X_{\omega_1} \dashrightarrow X_{\omega_2}$, induced by the identity transformation between $T/K \subset X_{\omega_1}$ and $T/K \subset X_{\omega_2}$.

Consider now a birational transformation $X_+ \dashrightarrow X_-$ arising from a single wall-crossing in the space of stability conditions, as follows. Let C_+, C_- be chambers in $\mathbb{L}^\vee \otimes \mathbb{R}$ that are separated by a hyperplane wall W, so that $W \cap \overline{C_+}$ is a facet of $\overline{C_+}$, $W \cap \overline{C_-}$ is a facet of $\overline{C_-}$, and $W \cap \overline{C_+} = W \cap \overline{C_-}$. Choose stability conditions $\omega_+ \in C_+$, $\omega_- \in C_-$ satisfying (3.1) and set $U_+ := U_{\omega_+}$, $U_- := U_{\omega_-}$, $X_+ := X_{\omega_+}$, $X_- := X_{\omega_-}$, and:
$$\mathcal{A}_\pm := \mathcal{A}_{\omega_\pm} = \left\{ I \subset \{1, 2, \ldots, m\} : \omega_\pm \in \mathrm{Cone}_I \right\}$$
Then $C_\pm = \bigcap_{I \in \mathcal{A}_\pm} \mathrm{Cone}_I$. Let $\varphi \colon X_+ \dashrightarrow X_-$ be the birational transformation induced by the toric wall-crossing from C_+ to C_- and suppose that $\sum_{i=1}^m D_i \in W$: as we will see below this amounts to requiring that φ is crepant. Let $e \in \mathbb{L}$ denote the primitive lattice vector in $W^\perp$ such that e is positive on C_+ and negative on C_-.

Example 4.1. Recall our Example 3.3 where we quotiented $\mathbb{C}^4$ by $\mathbb{C}^\times$ with weights $(1, 1, -1, -1)$. Here there are exactly two chambers in $\mathbb{L}^\vee \otimes \mathbb{R} = \mathbb{R}$, namely $C_+ = \mathbb{R}_+$ and $C_- = \mathbb{R}_-$, and they are separated by the wall $W = \{0\}$. Note that $\sum D_i = 0$ which does indeed lie in W, and that e just the vector $1 \in \mathbb{R}$.

Previously we chose a postive ω so we constructed X_+; if we now choose an $\omega_- \in C_-$ then we get that $U_- = \{(z_3, z_4) \neq (0, 0)\} \subset \mathbb{C}^4$, and X_- is again the total space of $\mathcal{O}(-1)^{\oplus 2}$ over (a different) $\mathbb{P}^1$. The birational map $\varphi \colon X_+ \dashrightarrow X_-$ is the Atiyah flop.

Choose ω_0 from the relative interior of $W \cap \overline{C_+} = W \cap \overline{C_-}$. The stability condition ω_0 does not satisfy our assumption (3.1) on GIT data, but we can still consider
$$\mathcal{A}_0 := \mathcal{A}_{\omega_0} = \{I \subset \{1, \ldots, m\} : \omega_0 \in \mathrm{Cone}_I\}$$
and the corresponding toric Artin stack $X_0 := X_{\omega_0} = [U_{\omega_0}/K]$ as given in Definition 3.2. Here X_0 is not Deligne–Mumford, as the $\mathbb{C}^\times$-subgroup of K corresponding to $e \in \mathbb{L}$ (the defining equation of the wall W) has a fixed point in $U_0 := U_{\omega_0}$. The stack X_0 contains both X_+ and X_- as open substacks and the canonical line bundles of X_+ and X_- are the restrictions of the same line bundle $L_0 \to X_0$ given by the character $-\sum_{i=1}^m D_i$ of K. The condition $\sum_{i=1}^m D_i \in W$ ensures that L_0 comes from a $\mathbb{Q}$-Cartier divisor on the underlying singular toric variety $\overline{X}_0 = \mathbb{C}^m /\!\!/_{\omega_0} K$. There are canonical blow-down maps $g_\pm \colon X_\pm \to \overline{X}_0$, and $K_{X_\pm} = g_\pm^\star L_0$. The maps $g_\pm$ will combine with diagram (4.1) to give a commutative diagram:

$$
\begin{array}{ccc}
 & \widetilde{X} & \\
{\scriptstyle f_+} \swarrow & & \searrow {\scriptstyle f_-} \\
X_+ \dashrightarrow \;\; \overset{\varphi}{-} \; \dashrightarrow & & X_- \\
{\scriptstyle g_+} \searrow & & \swarrow {\scriptstyle g_-} \\
 & \overline{X}_0 &
\end{array}
$$

This shows that $f_+^\star(K_{X_+})$ and $f_-^\star(K_{X_-})$ coincide, since they are the pull-backs of the same $\mathbb{Q}$-Cartier divisor on $\overline{X}_0$. The equality $f_+^\star(K_{X_+}) = f_-^\star(K_{X_-})$ is what is meant by the birational map φ being *crepant*, and by the diagram (4.1) being a *K-equivalence*.

Example 4.2. Let us continue with our Example 4.1. The stability condition ω_0 can only be $0 \in \mathbb{R}$. This means that the empty set $\emptyset$ is an element of $\mathcal{A}_0$ (since $\mathrm{Cone}_\emptyset = \{0\}$ by definition), hence U_0 is the whole of $\mathbb{C}^4$ and X_0 is the Artin stack $[\mathbb{C}^4/\mathbb{C}^\times]$. The underlying singular variety $\overline{X}_0$ is the 3-fold ordinary double point.

It remains to construct diagram (4.1). Consider the action of $K \times \mathbb{C}^\times$ on $\mathbb{C}^{m+1}$ defined by the characters $\widetilde{D}_1, \ldots, \widetilde{D}_{m+1}$ of $K \times \mathbb{C}^\times$, where:

$$\widetilde{D}_j = \begin{cases} D_j \oplus 0 & \text{if } j < m+1 \text{ and } D_j \cdot e \leq 0 \\ D_j \oplus (-D_j \cdot e) & \text{if } j < m+1 \text{ and } D_j \cdot e > 0 \\ 0 \oplus 1 & \text{if } j = m+1 \end{cases}$$

Consider the chambers $\widetilde{C}_+$, $\widetilde{C}_-$, and $\widetilde{C}$ in $(\mathbb{L} \oplus \mathbb{Z})^\vee \otimes \mathbb{R}$ that contain, respectively, the stability conditions

$$\tilde{\omega}_+ = (\omega_+, 1) \qquad \tilde{\omega}_- = (\omega_-, 1) \qquad \text{and} \qquad \tilde{\omega} = (\omega_0, -\varepsilon)$$

where ε is a very small positive real number. Let $\widetilde{X}$ denote the toric Deligne–Mumford stack defined by the stability condition $\tilde{\omega}$. Lemma 6.16 in [5] gives that:

(1) The toric Deligne–Mumford stack corresponding to the chamber $\widetilde{C}_+$ is X_+.
(2) The toric Deligne–Mumford stack corresponding to the chamber $\widetilde{C}_-$ is X_-.
(3) There is a commutative diagram as in (4.1), where:
  - $f_+ \colon \widetilde{X} \to X_+$ is a toric blow-up, arising from the wall-crossing from $\widetilde{C}$ to $\widetilde{C}_+$; and
  - $f_- \colon \widetilde{X} \to X_-$ is a toric blow-up, arising from the wall-crossing from $\widetilde{C}$ to $\widetilde{C}_-$.

Example 4.3. Let us spell out this construction for the Atiyah flop (that is, we continue our Example 4.2). We consider an action of $(\mathbb{C}^\times)^2$ on $\mathbb{C}^5$ with weight matrix

$$\begin{pmatrix} 1 & 1 & -1 & -1 & 0 \\ -1 & -1 & 0 & 0 & 1 \end{pmatrix}$$

(The columns of this matrix are the characters $\widetilde{D}_1, ..., \widetilde{D}_5$.) The space of stability conditions $\mathbb{R}^2 = \{(s_1, s_2)\}$ is partitioned into three chambers:

$$\widetilde{C}_+ = \{s_1 > 0, s_1 + s_2 > 0\}, \qquad \widetilde{C}_- = \{s_1 < 0, s_2 > 0\}, \qquad \text{and} \qquad \widetilde{C} = \{s_1 + s_2 < 0, s_2 < 0\}$$

The walls are the rays spanned by the characters $\widetilde{D}_i$.

If we choose a stability condition ω lying in $\widetilde{C}_+$ or $\widetilde{C}_-$ (such as $\omega = \tilde{\omega}_+$ or $\omega = \tilde{\omega}_-$) then any anticone $I \in \mathcal{A}_\omega$ has to have $5 \in I$; consequently the semi-stable locus U_ω is contained in the open set $\{z_5 \neq 0\} \subset \mathbb{C}^5$. However, the stack $[\{z_5 \neq 0\}/(\mathbb{C}^\times)^2]$ is canonically equivalent to the stack $X_0 = [\mathbb{C}^4/\mathbb{C}^\times]$, so for these stability conditions the GIT problem reduces to the previous one. This is why stability conditions in $\widetilde{C}_+$ produce X_+, and stability conditions in $\widetilde{C}_-$ produce X_-.

Now consider the stability condition $\tilde{\omega}$ from the chamber $\widetilde{C}$. The anticones $I \in \mathcal{A}_{\tilde{\omega}}$ are the subsets of $\{1, ..., 5\}$ such that $I \cap \{1, 2\} \neq \emptyset$ and $I \cap \{3, 4\} \neq \emptyset$. Consequently the semi-stable locus for $\tilde{\omega}$ is the open set:

$$U_{\tilde{\omega}} = \{(z_1, z_2) \neq (0, 0), \ (z_3, z_4) \neq (0, 0)\} \subset \mathbb{C}^5$$

Then $\tilde{X}$ is the total space of the line-bundle $\mathcal{O}(-1, -1)$ over $\mathbb{P}^1 \times \mathbb{P}^1$. This is the common blow-up of X_+ and X_-.

5. The Fourier–Mukai Functor is a Derived Equivalence

Let $\varphi \colon X_+ \dashrightarrow X_-$ be a crepant birational transformation between toric Deligne–Mumford stacks which arises from a toric wall-crossing, and let:

(5.1)
$$\begin{array}{ccc} & \widetilde{X} & \\ {\scriptstyle f_+} \swarrow & & \searrow {\scriptstyle f_-} \\ X_+ \dashrightarrow & {\scriptstyle \varphi} & X_- \end{array}$$

be the K-equivalence constructed in §4. Recall that $X_+ = [U_{\omega_+}/K]$, $X_- = [U_{\omega_-}/K]$ where $U_{\omega_\pm}$ are open subsets of $\mathbb{C}^m$ and $K \subset T$ is a subtorus of the big torus $T = (\mathbb{C}^\times)^m$. Set $Q = T/K$, so

that X_+ and X_- carry effective actions of Q. The maps $f_\pm$ in (5.1) are Q-equivariant. In this section we show that the Fourier–Mukai functor:

$$\mathbb{FM}\colon D_Q^b(X_-) \to D_Q^b(X_+) \qquad\qquad \mathbb{FM} := (f_+)_\star(f_-)^\star$$

is an equivalence of categories. This generalizes a theorem due to Kawamata [12, Theorem 4.2], by considering the Q-equivariant, rather than the non-equivariant, derived category.

To prove that the Fourier–Mukai transform gives an equivarant derived equivalence, we will use the theory developed by Halpern-Leistner [9] and Ballard–Favero–Katzarkov [2] which relates derived categories to variation of GIT. Note that the Q-equivariant derived category of $X_\pm$ is just the derived category of the stack $[X_\pm/Q] = [U_{\omega_\pm}/T]$, and that $[U_\pm/T]$ both sit as open substacks of $[\mathbb{C}^m/T]$. The work of Halpern-Leistner and Ballard–Favero–Katzarkov allows us to find a (non-unique) subcategory:

$$\mathbf{G} \subset D_T^b(\mathbb{C}^m)$$

which is equivalent, under the restriction functors, to both $D_Q^b(X_-)$ and $D_Q^b(X_+)$. By inverting the first equivalence we get an equivalence:

$$\mathbb{GR}\colon D_Q^b(X_-) \xrightarrow{\sim} D_Q^b(X_+)$$

The notation $\mathbb{GR}$ here refers to the 'grade-restriction rules' which define the subcategory $\mathbf{G}$. We will show that $\mathbb{GR}$ and $\mathbb{FM}$ are the same functor, hence proving that the $\mathbb{FM}$ is an equivalence.

Remark 5.1. The result that $\mathbb{GR} = \mathbb{FM}$ is stated in [10, §3.1], and a sketch proof is given. We did not find the sketch entirely satisfactory, and so give a complete proof here. (Also Halpern-Leistner–Shipman treat only the non-equivariant case, but this is a minor point.)

5.1. **Grade-Restriction Rules.** The theory we need was developed by Halpern-Leistner [9] and Ballard–Favero–Katzarkov [2] independently; we will quote the former. We consider only smooth spaces acted on by tori, this simplifies the theory considerably. Let M be a smooth variety carrying an action of a torus G. A *Kempf–Ness stratum* (henceforth *KN-stratum*) consists of the following data:

- A 1-parameter subgroup $\lambda \subset G$.
- A connected component Z of the fixed locus M^λ. We let $i_Z : Z \hookrightarrow M$ denote the inclusion.
- The associated *blade*:
$$S = \Big\{ y \in M; \ \lim_{t\to\infty} \lambda(t)(y) \in Z \Big\}$$
  We require that S is closed in M.

Both Z and S are automatically smooth, and a theorem of Białynicki–Birula implies that S is a locally trivial bundle of affine spaces over Z. The fixed component Z is automatically closed in M, but S need not be; thus the requirement that S be closed in M is non-trivial. To a KN-stratum we associate the numerical invariant:

$$\eta := \text{weight}_\lambda\big(\det(N_{S/M})|_Z\big)$$

From the definition of S we have that η is a non-negative integer. Now pick any integer k, and define the subcategory

$$\mathbf{G}_k \subset D_G^b(M)$$

to be the full subcategory consisting of objects $\mathcal{E}$ that obey the following *grade-restriction rule*:

(5.2)   the homology sheaves of $Ri_Z^\star\mathcal{E}$ have λ weights lying in the interval $[k, k+\eta)$.

The main result of [9], Theorem 3.35 there, is that for any k the restriction functor gives an equivalence:

$$\mathbf{G}_k \xrightarrow{\sim} D_G^b(M \setminus S)$$

A *KN-stratification* is a sequence $(\lambda_0, Z_0, S_0), ..., (\lambda_n, Z_n, S_n)$ such that each triple (λ_i, Z_i, S_i) is a KN-stratum in the space $M \setminus \bigcup_{j<i} S_j$. If we pick an integer k_i for each stratum then we can define a subcategory:

$$\mathbf{G}_{k_\bullet} \subset D_G^b(M)$$

by imposing a grade-restriction rule on each locally closed subvariety $Z_i \subset M$. By recursively applying the previous result we have [9, Theorem 2.10] that $\mathbf{G}_{k_\bullet}$ is equivalent to the derived category of:

$$\left[\left(M \setminus \bigcup_i S\right)/G\right]$$

If M is semi-projective and M^{ss} is the semi-stable locus for some stability condition, then Kempf and Ness showed that we can construct a KN-stratification with $M \setminus \bigcup_i S_i = M^{ss}$. Thus the subcategory $\mathbf{G}_{k_\bullet}$ provides a way to lift the derived category of the GIT quotient $\left[M^{ss}/G\right]$ into the derived category of the ambient Artin stack $\left[M/G\right]$.

Next we explain how to apply this theory to find the derived equivalence

$$\mathbb{GR} \colon D_Q^b(X_-) \xrightarrow{\ \sim\ } D_Q^b(X_+)$$

following [9, §4.1]. In §4 above we introduced open subsets of $\mathbb{C}^m$

$$U_+ = \mathbb{C}^m \setminus \left(\bigcup_{I \notin \mathcal{A}_+} \mathbb{C}^I\right) \qquad U_0 = \mathbb{C}^m \setminus \left(\bigcup_{I \notin \mathcal{A}_0} \mathbb{C}^I\right) \qquad U_- = \mathbb{C}^m \setminus \left(\bigcup_{I \notin \mathcal{A}_-} \mathbb{C}^I\right)$$

with $X_+ = \left[U_+/K\right]$ and $X_- = \left[U_-/K\right]$. The set U_0 is the semi-stable locus for a stability condition ω_0 that lies on the wall W between X_+ and X_-. Recall that e is a primitive normal vector to W; this defines a 1-parameter subgroup of K which 'controls the wall-crossing'. Set:

$$M_\pm = \{i \in \{1, \ldots, m\} : \pm D_i \cdot e > 0\} \qquad M_{\geq 0} = M_0 \sqcup M_+$$
$$M_0 = \{i \in \{1, \ldots, m\} : D_i \cdot e = 0\} \qquad M_{\leq 0} = M_0 \sqcup M_-$$

Our assumptions imply that both M_+ and M_- are non-empty. The fixed-point locus, attracting subvariety, and repelling subvariety for e are $\mathbb{C}^{M_0}$, $\mathbb{C}^{M_{\leq 0}}$, and $\mathbb{C}^{M_{\geq 0}}$ respectively. It is clear[3] that $U_\pm \subset U_0$ and that:

$$U_+ = U_0 \setminus \left(\mathbb{C}^{M_{\leq 0}} \cap U_0\right) \qquad U_- = U_0 \setminus \left(\mathbb{C}^{M_{\geq 0}} \cap U_0\right)$$

Set:

$$Z = U_0 \cap \mathbb{C}^{M_0} \qquad S_+ = U_0 \cap \mathbb{C}^{M_{\geq 0}} \qquad S_- = U_0 \cap \mathbb{C}^{M_{\leq 0}}$$

Both (e, Z, S_-) and $(-e, Z, S_+)$ define KN-strata inside U_0. The numerical invariants associated to these two strata are

$$\eta_+ = \sum_{i \in M_+} D_i \cdot e \qquad \text{and} \qquad \eta_- = -\sum_{i \in M_-} D_i \cdot e$$

respectively. The crepancy condition gives $\eta_+ = \eta_-$. Now define a full subcategory $\mathbf{G} \subset D_T^b(U_0)$ consisting of objects $\mathcal{E}$ such that the e weights of the homology sheaves of $Ri_Z^\star\mathcal{E}$ lie in the interval $[0, \eta_+)$; this is the grade-restriction rule (5.2). Then $\mathbf{G}$ is equivalent, under the restriction functor, to:

$$D_T^b(U_0 \setminus S_-) = D_Q^b(X_+)$$

However, this grade restriction rule is the same thing as requiring the $(-e)$ weights of the homology of $Ri_Z^\star\mathcal{E}$ to lie in the interval $[-\eta_- +1, 1)$, so $\mathbf{G}$ is also equivalent to:

$$D_T^b(U_0 \setminus S_+) = D_Q^b(X_-)$$

After inverting the latter equivalence we obtain the required equivalence $\mathbb{GR}$.

If we wish, we can pick a KN-stratification for the complement of U_0 in $\mathbb{C}^m$ and use grade-restriction rules to lift $D_T^b(U_0)$ into $D_T^b(\mathbb{C}^m)$, thus lifting $\mathbf{G}$ to a category defined on the larger stack. This produces the same equivalence $\mathbb{GR}$.

---

[3] See e.g. [5, Lemma 5.2].

5.2. Derived Categories of Blow-Ups and Variation of GIT.

Given a blow-up $f\colon \widetilde{X} \to X$, there are adjoint functors

$$f^\star\colon D^b(X) \to D^b(\widetilde{X}) \qquad\qquad f_\star\colon D^b(\widetilde{X}) \to D^b(X).$$

In this section we construct these functors using grade-restriction rules and variation of GIT, in a quite general setting.

Suppose that X is a Deligne–Mumford stack, E is a vector bundle on X, and that $Z \subset X$ is a connected substack defined by the vanishing of a regular section σ of E. Let $\widetilde{X} := \mathrm{Bl}_Z X$ be the blow-up of X with center Z. Consider the total space of the bundle $E \oplus \mathcal{O}_X$, and equip it with a $\mathbb{C}^\times$ action having weights $(1, -1)$. Now consider the $\mathbb{C}^\times$-invariant subspace:

$$M = \{(v, z) : v \in E_x,\ z \in \mathbb{C} \text{ such that } zv = \sigma(x)\} \subset E \oplus \mathcal{O}_X$$

The stack $\left[M/\mathbb{C}^\times \right]$ contains both X and $\widetilde{X}$ as open substacks, and sits in a diagram:

where all arrows are inclusions and $\Gamma(\sigma)$ denotes the graph of σ. The fixed locus $M^{\mathbb{C}^\times}$ is isomorphic to Z, the attracting subvariety S_- is isomorphic to the total space of $\mathcal{O}_X\big|_Z$, and the repelling subvariety S_+ is isomorphic to the total space of $E\big|_Z$. Let $U_\pm = M \setminus S_\mp$; these are the semi-stable loci for the two possible stability conditions. We have a commuting diagram:

(5.3)

where $i_\pm$ are the inclusions and π is induced by the vector bundle projection map $E \oplus \mathcal{O}_X \to X$. Thus the blow-up $f\colon \widetilde{X} \to X$ arises from variation of GIT, and it does so relative to X.

We now apply the results discussed in the previous section. Let $i_Z\colon Z \to M$ denote the inclusion. For each stability condition we have a single KN-stratum, namely $(\mathbb{C}^\times, Z, S_\pm)$. The numerical invariants are:

$$\eta = -\mathrm{weight}(\mathcal{O}_X|_Z) = 1 \qquad \text{and} \qquad \widetilde{\eta} = \mathrm{weight}(\det E|_Z) = \mathrm{rank}\, E.$$

Hence we define full subcategories:

$$\mathbf{H} \subset \widetilde{\mathbf{H}} \subset D^b_{\mathbb{C}^\times}(M)$$

using the grade-restriction rule (5.2), where for $\mathbf{H}$ we require the weights to lie in the interval $[0, 1)$ and for $\widetilde{\mathbf{H}}$ we require the weights to lie in $[0, \mathrm{rank}\, E)$. Then $\mathbf{H}$ and $\widetilde{\mathbf{H}}$ are equivalent, via the restrictions i_+ and i_-, to the derived categories of X and $\tilde{X}$ respectively.

Lemma 5.2.

(1) *The composition:*

$$D^b(X) \xrightarrow{(i_+^\star)^{-1}} \mathbf{H} \xrightarrow{i_-^\star} D^b(\tilde{X})$$

is equal to the pull-up functor $f^\star$.

(2) *The composition*

$$D^b(\tilde{X}) \xrightarrow{(i_-^\star)^{-1}} \widetilde{\mathbf{H}} \xrightarrow{i_+^\star} D^b(X)$$

is equal to the push-down functor $f_\star$.

Proof. (1) We use the diagram (5.3). If $\mathcal{F}$ is any sheaf on X, then $\pi^\star\mathcal{F}\big|_Z$ is of $\mathbb{C}^\times$-weight zero, and so $\pi^\star\mathcal{F} \in \mathbf{H}$. Moreover, since $i_+^\star\pi^\star$ is the identity functor, we must have that $\pi^\star$ is an embedding and

$$\pi^\star(D^b(X)) = \mathbf{H}$$

with $\pi^\star = (i_+^\star)^{-1}$. Now the statement follows, since $i_-^\star\pi^\star = f^\star$.

(2) Let $\mathcal{E} \in \mathbf{H}$ and $\mathcal{F} \in \widetilde{\mathbf{H}}$. By [9, Theorem 3.29], restriction gives a quasi-isomorphism

$$R\operatorname{Hom}_{[M/\mathbb{C}^\times]}(\mathcal{E}, \mathcal{F}) \xrightarrow{\sim} R\operatorname{Hom}_X(i_+^\star\mathcal{E}, i_+^\star\mathcal{F})$$

In other words, the composition:

$$\widetilde{\mathbf{H}} \xrightarrow{i_+^\star} D^b(X) \xrightarrow{(i_+^\star)^{-1}} \mathbf{H}$$

is the right adjoint to the inclusion $\mathbf{H} \hookrightarrow \widetilde{\mathbf{H}}$. If we identify $\mathbf{H}$ and $\widetilde{\mathbf{H}}$ with $D^b(X)$ and $D^b(\tilde{X})$ using $i_+^\star$ and $i_-^\star$ respectively, then the inclusion $\mathbf{H} \hookrightarrow \widetilde{\mathbf{H}}$ is identified with $f^\star$ by (1), and so its right adjoint must coincide with $f_\star$. □

5.3. **The Fourier-Mukai Functor and Variation of GIT.** In this section we complete the proof that the Fourier–Mukai functor $\mathbb{FM}$ arising from the diagram (5.1) is a derived equivalence, by showing that it coincides with the 'grade-restriction' derived equivalance $\mathbb{GR}$.

5.3.1. *Variation of GIT Setup.* We saw in §4 that X_+, X_- and $\tilde{X}$ can be constructed using a single GIT problem. These quotients correspond respectively to chambers which we denoted $\widetilde{C}_+$, $\widetilde{C}_-$ and $\widetilde{C}$. Let $W_{+|-}$, $W_{+|\sim}$ and $W_{-|\sim}$ denote the codimension-1 walls between these three chambers, and let W_0 be the codimension-2 wall where all three meet. The three codimension-1 walls each define one-parameter subgroups of $K \times \mathbb{C}^\times$, which have fixed loci, repelling subvarieties, and attracting subvarieties as follows.

Wall:	$W_{+|-}$	$W_{-|\sim}$	$W_{+|\sim}$
One-parameter subgroup:	$(e, 0)$	$(0, 1)$	$(e, 1)$
Fixed locus:	$\mathbb{C}^{M_0} \times \mathbb{C}$	$\mathbb{C}^{M_{\leq 0}}$	$\mathbb{C}^{M_{\geq 0}}$
Repelling subvariety:	$\mathbb{C}^{M_{\geq 0}} \times \mathbb{C}$	$\mathbb{C}^{M_{\leq 0}} \times \mathbb{C}$	$\mathbb{C}^{M_{\geq 0}} \times \mathbb{C}$
Attracting subvariety:	$\mathbb{C}^{M_{\leq 0}} \times \mathbb{C}$	$\mathbb{C}^m$	$\mathbb{C}^m$

Consider 7 stability conditions as follows: one lying on (the relative interior of) W_0, one lying on (the relative interior of) each of the 3 codimension-1 walls, and one lying in each chamber. The semi-stable locus $V_0 \subset \mathbb{C}^{m+1}$ for a stability condition lying on W_0 is the open set:

$$V_0 = U_0 \times \mathbb{C} = \mathbb{C}^{m+1} \setminus \left(\bigcup_{I \notin \mathcal{A}_0} \mathbb{C}^I \times \mathbb{C} \right)$$

where U_0 was defined in Section 5.1. The semi-stable locus for the other 6 stability conditions are open subsets of V_0, as follows:

Location of stability condition	Semi-stable locus
$\widetilde{C}_+$	$V_+ = V_0 \setminus \left((\mathbb{C}^{M_{\leq 0}} \times \mathbb{C}) \cup \mathbb{C}^m \right)$
$\widetilde{C}_-$	$V_- = V_0 \setminus \left((\mathbb{C}^{M_{\geq 0}} \times \mathbb{C}) \cup \mathbb{C}^m \right)$
$\widetilde{C}$	$V_\sim = V_0 \setminus \left((\mathbb{C}^{M_{\leq 0}} \times \mathbb{C}) \cup (\mathbb{C}^{M_{\geq 0}} \times \mathbb{C}) \right)$
$W_{+|-}$	$V_{+|-} = V_0 \setminus \mathbb{C}^m$
$W_{+|\sim}$	$V_{+|\sim} = V_0 \setminus (\mathbb{C}^{M_{\leq 0}} \times \mathbb{C})$
$W_{-|\sim}$	$V_{-|\sim} = V_0 \setminus (\mathbb{C}^{M_{\geq 0}} \times \mathbb{C})$

The GIT quotients $\left[V_+/K \right]$, $\left[V_-/K \right]$, and $\left[V_\sim/K \right]$ are X_+, X_-, and $\tilde{X}$ respectively.

Let $k_i = \max(D_i \cdot e, 0)$. The maps:

$$\bar{\pi}_- \colon \mathbb{C}^{m+1} \to \mathbb{C}^m \qquad\qquad K \times \mathbb{C}^\times \to K$$

$$(x_1, \ldots, x_{m+1}) \mapsto \left(x_1 x_{m+1}^{k_1}, \ldots, x_m x_{m+1}^{k_m} \right) \qquad\qquad (\theta, \theta') \mapsto \theta$$

induce a morphism $\pi_- \colon \left[\mathbb{C}^{m+1}/(T \times \mathbb{C}^\times) \right] \to \left[\mathbb{C}^m/T \right]$. This morphism maps the subset V_0 to the subset U_0, and it maps the subset $V_{-|\sim}$ to the subset U_-. Thus we have a commutative diagram:

$$
\begin{array}{ccc}
 & \left[V_{-|\sim}/(T \times \mathbb{C}^\times) \right] & \\
 \left[X_-/Q \right] = \left[V_-/(T \times \mathbb{C}^\times) \right] & \pi_- & \left[V_\sim/(T \times \mathbb{C}^\times) \right] = \left[\tilde{X}/Q \right] \\
 & \left[X_-/Q \right] & f_-
\end{array}
$$

where f_- is the (Q-equivariant) blow-up. Similarly there is a map π_+ which sends $V_{+|\sim}$ to U_+ and gives a corresponding commutative diagram for f_+. The stack $\left[V_{+|-}/(T \times \mathbb{C}^\times) \right]$ is isomorphic to $\left[U_0/T \right]$, via either of π_- or π_+.

5.3.2. *Proof that* $\mathbb{FM}$ *Coincides With* $\mathbb{GR}$. As discussed, the fact that $\mathbb{FM}$ is an equivalence follows from:

Proposition 5.3. *The two functors*

$$\mathbb{FM} \colon D_Q^b(X_-) \longrightarrow D_Q^b(X_+) \qquad and \qquad \mathbb{GR} \colon D_Q^b(X_-) \longrightarrow D_Q^b(X_+)$$

are naturally isomorphic.

Proof. Consider the following poset of inclusions:

$$
\begin{array}{ccccc}
 & & V_0 & & \\
 & V_{-|\sim} & & V_{+|\sim} & \\
 V_- & & V_\sim & & V_+
\end{array}
\tag{5.4}
$$

Passing to (equivariant) derived categories, we get a corresponding commuting diagram of restriction functors. We will prove our proposition by lifting the categories along the bottom line up the diagram, using grade-restriction rules.

Let us denote by d the positive integer:

$$d = \sum_{i \in M_+} D_i \cdot e = - \sum_{i \in M_-} D_i \cdot e$$

We begin by considering V_+ and $V_\sim$ as open subsets of $V_{+|\sim}$. They are the complements, respectively, of the KN stratum:

$$\Big((e, 1),\ \ \mathbb{C}^{M_{\geq 0}} \cap V_{+|\sim},\ \ \mathbb{C}^m \cap V_{+|\sim}\Big)$$

which has numerical invariant $\eta = 1$, and the KN stratum:

$$\Big((-e, -1),\ \ \mathbb{C}^{M_{\geq 0}} \cap V_{+|\sim},\ \ (\mathbb{C}^{M_{\geq 0}} \times \mathbb{C}) \cap V_{+|\sim}\Big)$$

which has numerical invariant $\eta = d$. Hence we define subcategories

$$\mathbf{F} \subset \widetilde{\mathbf{F}} \subset D^b_{T \times \mathbb{C}^\times}\big(V_{+|\sim}\big)$$

by imposing the grade-restriction rule (5.2) on the subvariety $\mathbb{C}^{M_{\geq 0}} \cap V_{+|\sim}$, where for $\mathbf{F}$ we require that the $(e, 1)$-weights lie in the interval $[0, 1)$, and for $\widetilde{\mathbf{F}}$ we require that the $(e, 1)$-weights lie in the interval $[0, d)$. Then $\mathbf{F}$ is equivalent under restriction to $D^b_Q(X_+)$, and $\widetilde{\mathbf{F}}$ is equivalent under restriction to $D^b_Q(\tilde{X})$. Using the map π_+, and arguing exactly as in Lemma 5.2, we have a commuting triangle

$$
\begin{array}{ccc}
 & \widetilde{\mathbf{F}} & \\
 {\scriptstyle \simeq} \swarrow & & \searrow \\
 D^b_Q(\tilde{X}) & \xrightarrow{\quad (f_+)_\star \quad} & D^b_Q(X_+)
\end{array}
$$

where the diagonal maps are the restriction functors.

Now view V_- as an open subset of $V_{-|\sim}$, where it is the complement of the KN-stratum:

$$\Big((0, 1),\ \ \mathbb{C}^{M_{\leq 0}} \cap V_{-|\sim},\ \ \mathbb{C}^m \cap V_{-|\sim}\Big)$$

which has numerical invariant $\eta = 1$. Hence we define a subcategory

$$\mathbf{H} \subset D^b_{T \times \mathbb{C}^\times}\big(V_{-|\sim}\big)$$

using the grade restriction rule on the subvariety $\mathbb{C}^{M_{\leq 0}} \cap V_{-|\sim}$ and requiring the $(0, 1)$-weights to lie in the interval $[0, 1)$. Then $\mathbf{H}$ is equivalent under restriction to $D^b_Q(X_-)$ (there is also a larger subcategory $\widetilde{\mathbf{H}}$ which is equivalent to $D^b_Q(\tilde{X})$, but we will not need this). Using the map π_- and the proof of Lemma 5.2 again, we have commuting triangle

$$
\begin{array}{ccc}
 & \mathbf{H} & \\
 {\scriptstyle \simeq} \swarrow & & \searrow \\
 D^b_Q(X_-) & \xrightarrow{\quad (f_-)^\star \quad} & D^b_Q(\tilde{X})
\end{array}
$$

where the diagonal maps are the restriction functors.

Next we recall the definition of the functor $\mathbb{GR}$ from Section 5.1. It is constructed by lifting $D^b_Q(X_-)$ to a subcategory $\mathbf{G} \subset D^b_T(U_0)$ and then restricting to $\big[X_+/Q\big]$. Consider the subcategory:

$$(\pi_-)^\star \mathbf{G} \subset D^b_{T \times \mathbb{C}^\times}(V_0)$$

Since we have a commuting diagram

$$
\begin{array}{ccc}
\big[V_-/(T \times \mathbb{C}^\times)\big] \lhook\joinrel\longrightarrow \big[V_{+|-}/(T \times \mathbb{C}^\times)\big] \lhook\joinrel\longrightarrow \big[V_0/(T \times \mathbb{C}^\times)\big] \\
\big\| \qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad \Big\downarrow{\scriptstyle \pi_-} \\
\big[X_-/Q\big] =\!=\!= \big[U_-/T\big] \lhook\joinrel\longrightarrow\joinrel\longrightarrow \big[U_0/T\big]
\end{array}
$$

the subcategory $(\pi_-)^\star \mathbf{G}$ must be equivalent to $D^b_Q(X_-)$ under restriction, and therefore we can also obtain the functor $\mathbb{GR}$ by inverting this equivalence and then restricting to $D^b_Q(X_+)$.

Now take an object $\mathcal{E} \in (\pi_-)^\star \mathbf{G}$. From the definition of $\mathbf{G}$, and the fact that

$$\mathbb{C}^{M_{\geq 0}} \ \subset \ (\pi_-)^{-1}\left(\mathbb{C}^{M_0}\right)$$

it follows that the homology sheaves of the restriction of $\mathcal{E}$ to $\mathbb{C}^{M_{\geq 0}} \cap V_0$ have $(e, 1)$-weights lying in the interval $[0, d)$. Consequently, the restriction functor from V_0 to the open subset $V_{+|\sim}$ maps the subcategory $(\pi_-)^\star \mathbf{G}$ into the subcategory $\widetilde{\mathbf{F}}$.

Also, the homology sheaves of the restriction of $\mathcal{E}$ to $\mathbb{C}^{M_{\leq 0}} \cap V_0$ have $(0, 1)$-weight zero, since this is true of any object in the image of $(\pi_-)^\star$. Consequently the restriction functor from V_0 to $V_{-|\sim}$ maps $(\pi_-)^\star \mathbf{G}$ into $\mathbf{H}$. This must in fact be an equivalence, since both categories are equivalent to $D^b_Q(X_-)$ under restriction to V_-.

Putting all of the above together, we have a commutative diagram

in which all the downward arrows are restriction functors (c.f. the diagram (5.4)). We conclude that the functor $\mathbb{GR}$ agrees with the composition $(f_+)_\star (f_-)^\star$, which is the statement of the Proposition. $\qquad\square$

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